

# Genome-Driven Personalized Medicine of Cancer via Machine Learning and Phylogenetic Models

**Yifeng Tao**

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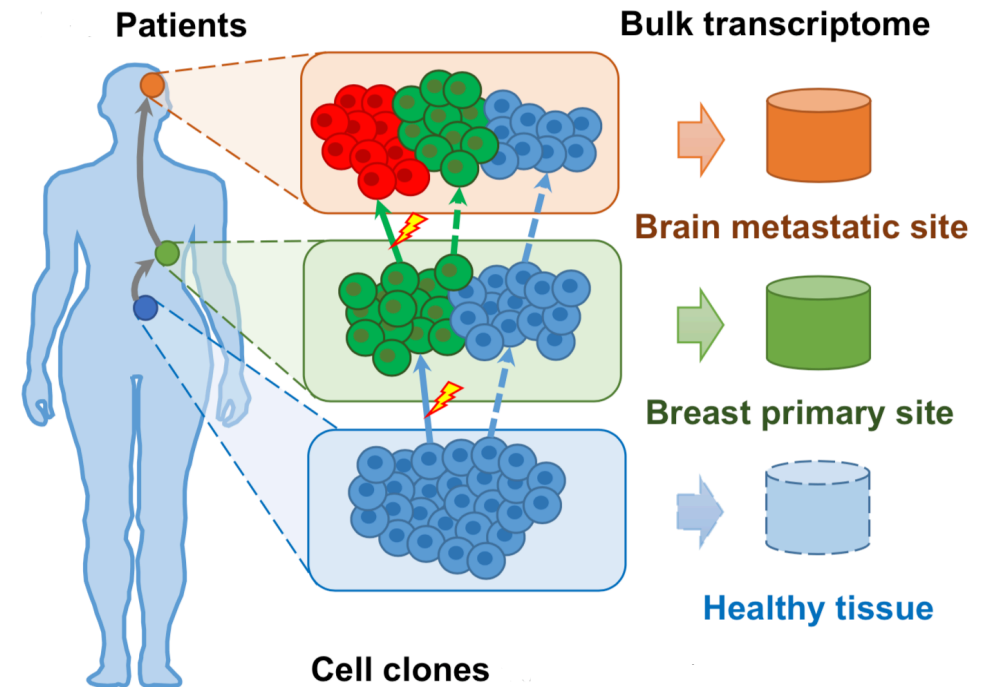
**Carnegie Mellon University**  
School of Computer Science

# Tumor heterogeneity and personalized medicine

- Cancer is a disease caused by aberrant mutations in genome.
- It develops via an evolutionary process into mixture of heterogeneous populations.

**GOAL:** To understand mechanism of tumor evolution and utilize genomic data for personalized medicine.

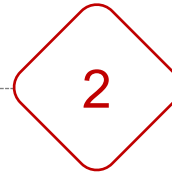
- Phenotype inference of cancer.
- Mechanism of tumor progression.
- Machine learning on evolutionary features.



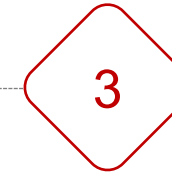
# OUTLINE



Reliable phenotype inference of cancer through well-designed interpretable machine learning models



Revealing intra-/inter-tumor heterogeneity and mechanism of tumor progression via robust deconvolution and phylogenetic algorithms

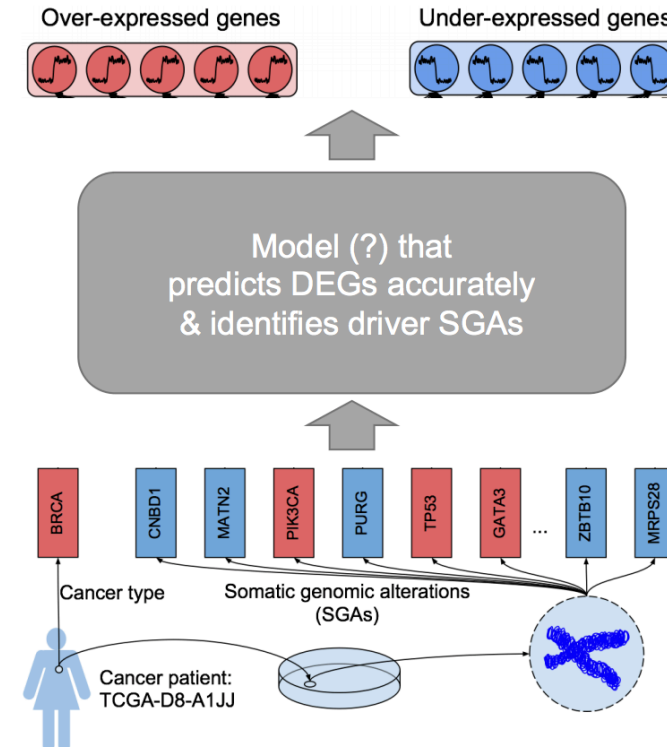
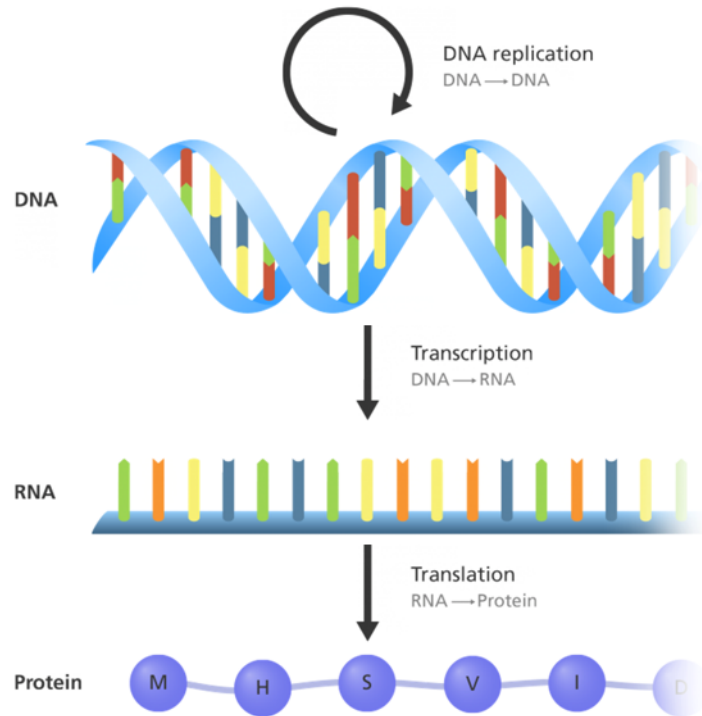


Improving prognostic prediction of cancer by incorporating machine learning and evolutionary methods

# Inference of RNA expression from mutated genes

**Central dogma:** DNA → mRNA → protein.

- RNA is the bridge between mutations and downstream phenotypes.
- Identify driver mutations in cancer with the supervision of mRNA.



<https://www.yourgenome.org/facts/what-is-the-central-dogma>

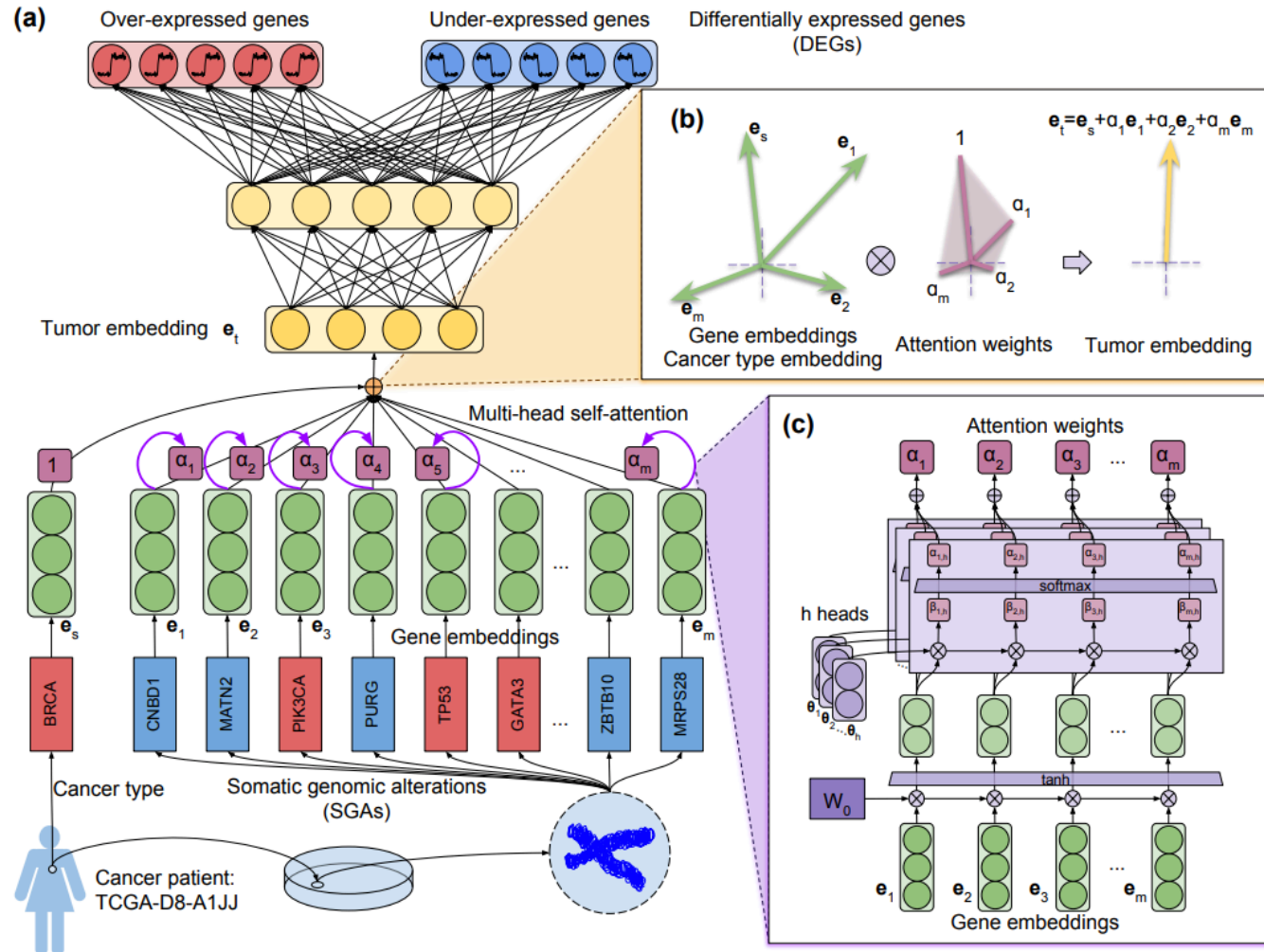
# Inference of RNA expression from mutated genes

## GIT: Genomic Impact Transformer

- Autoencoder architecture.
- Input: bag of mutated genes.
- Output: differentially expressed genes.

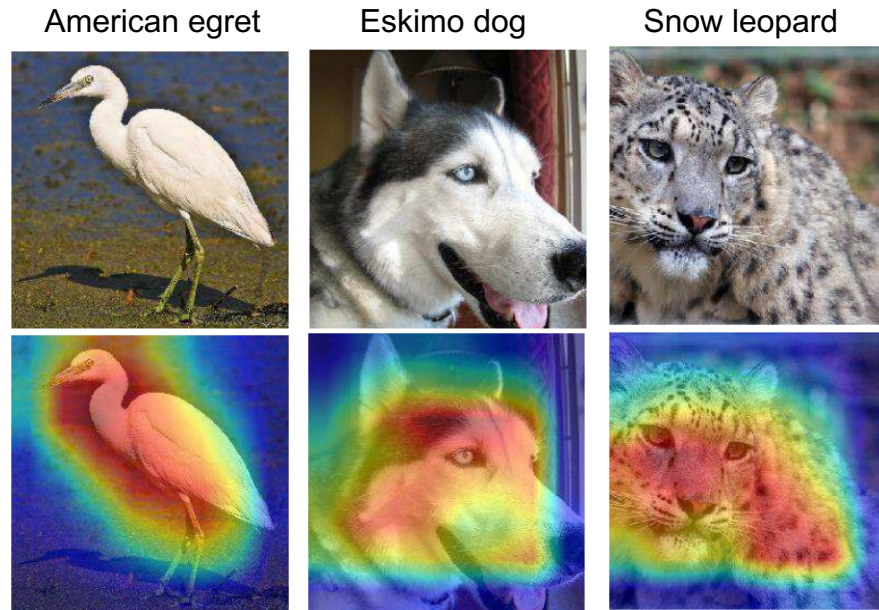
## Self-attention: capture contextual impact of input mutated genes.

- Widely used in CV/NLP.
- Performance.
- Interpretability.



# Examples of self-attention applications

- Computer Vision and Natural Language processing



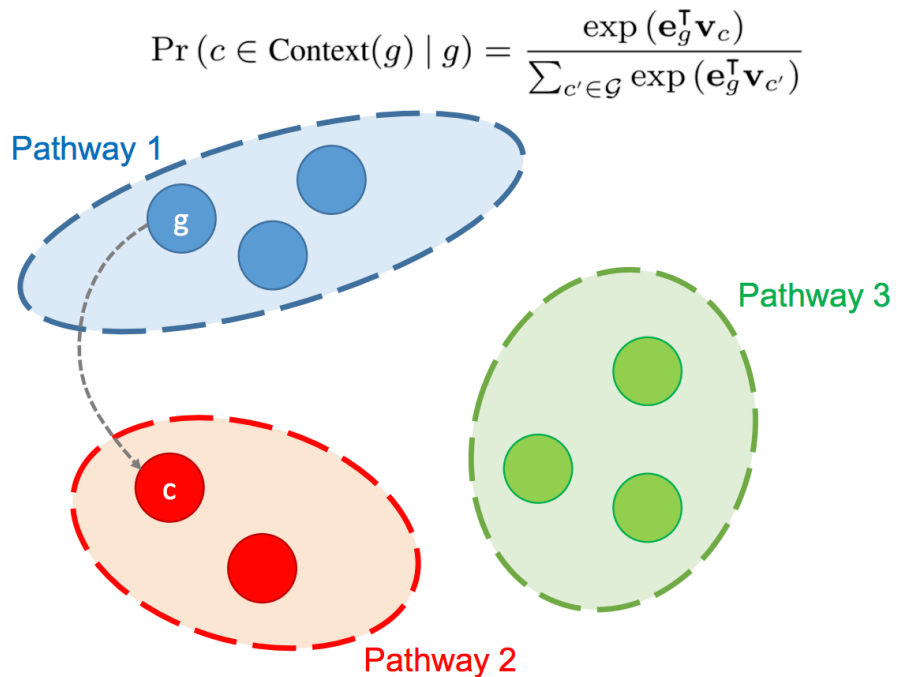
S Woo et al. *ECCV*. 2018.

The FBI is chasing a criminal on the run .  
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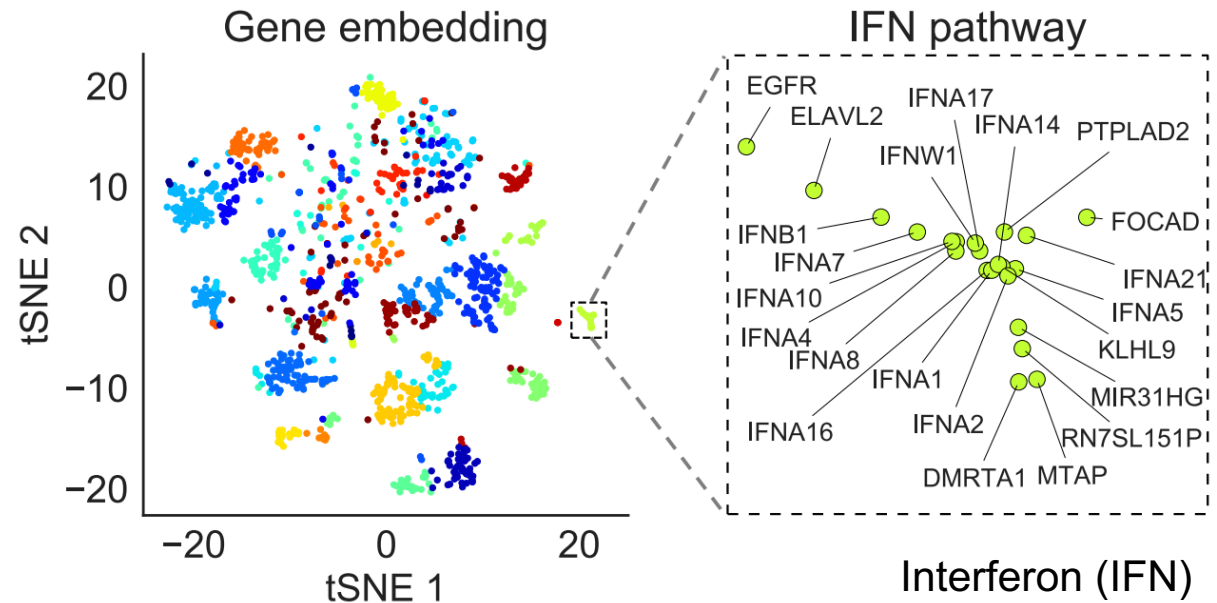
J Cheng et al. *EMNLP*. 2016.

# Pretraining gene embeddings: Gene2Vec

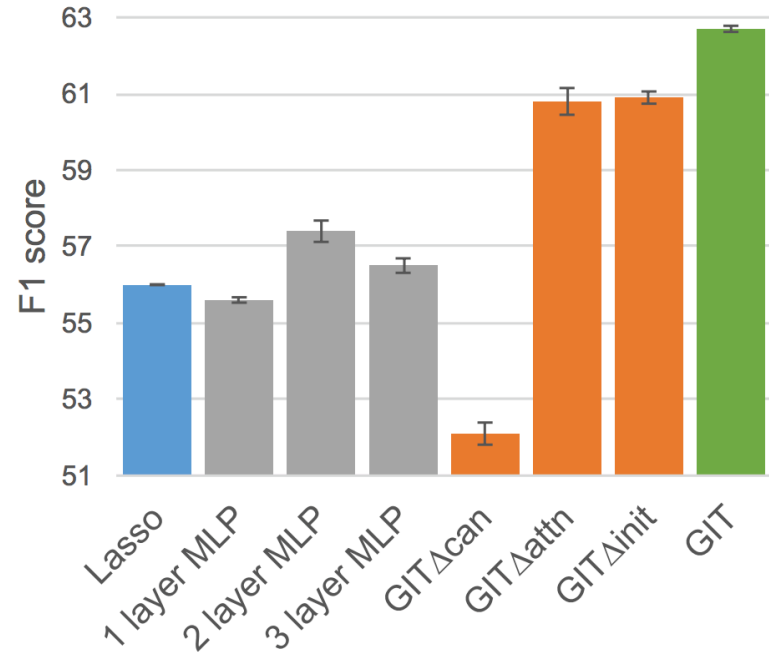
Co-occurrence pattern (e.g., mutually exclusive alterations)



Leiserson MD et al. Nature. 2015.  
Mikolov T et al. NeurIPS. 2013.



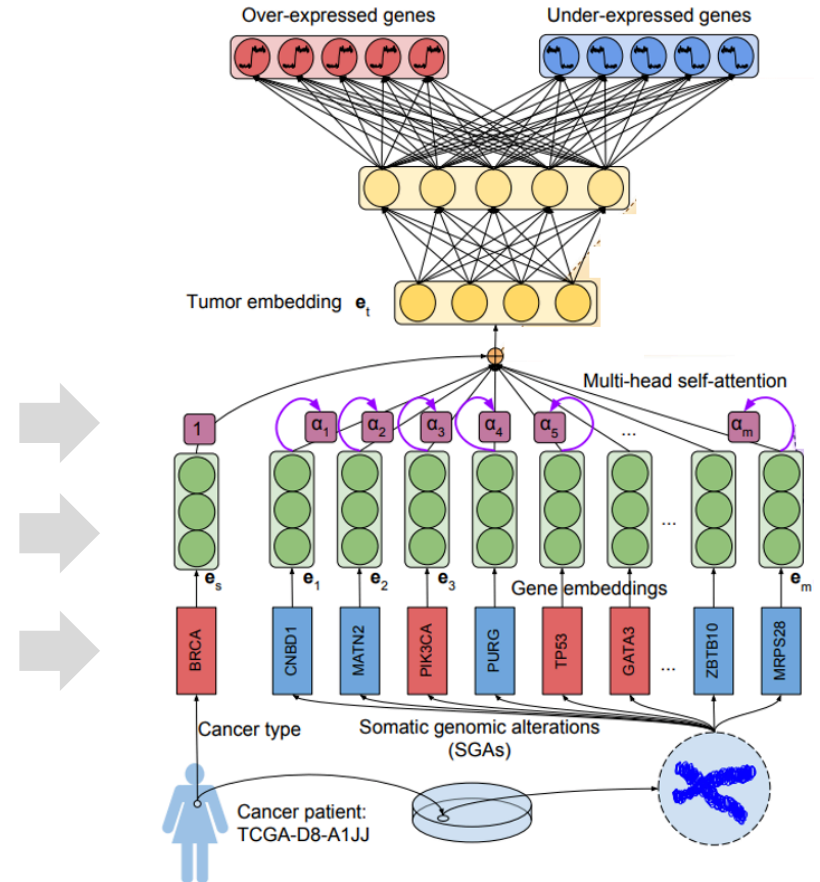
# Performance of GIT and competitors



Deeper MLP is not always better.

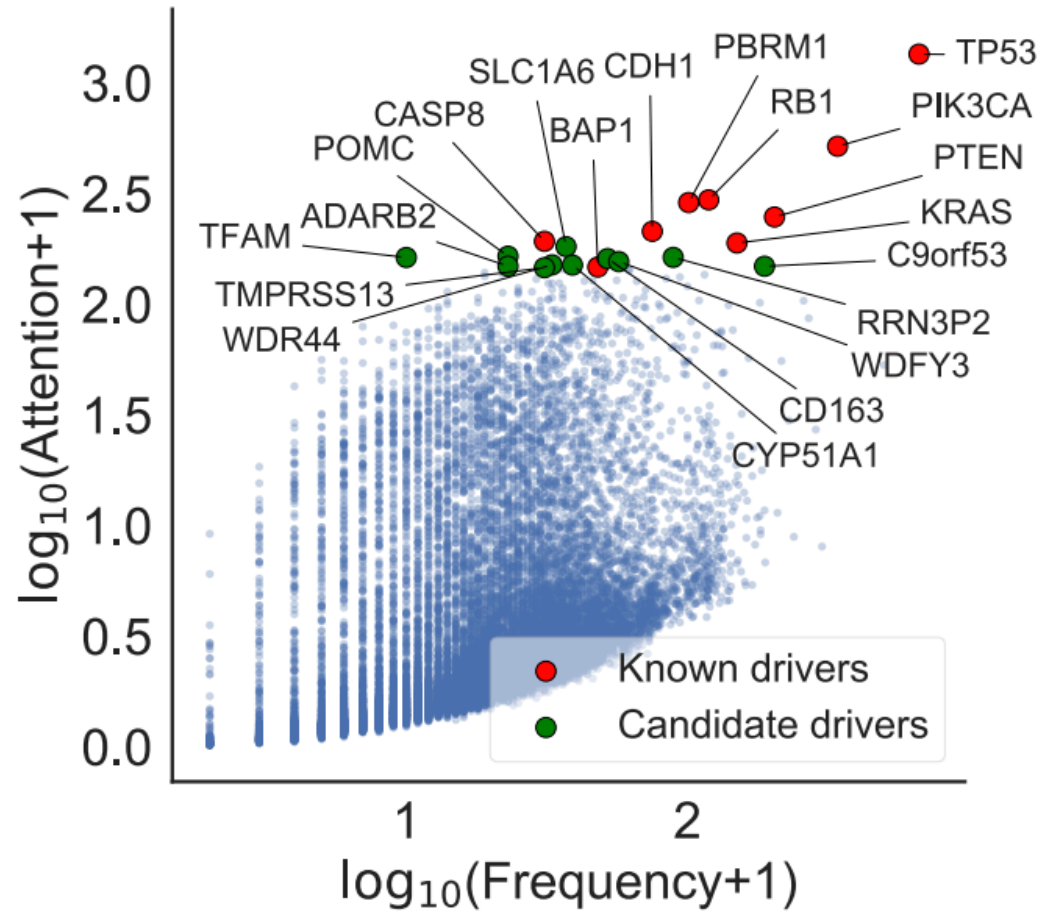
## Essential modules:

- attn: attention mechanism
- init: gene embeddings
- can: cancer type input

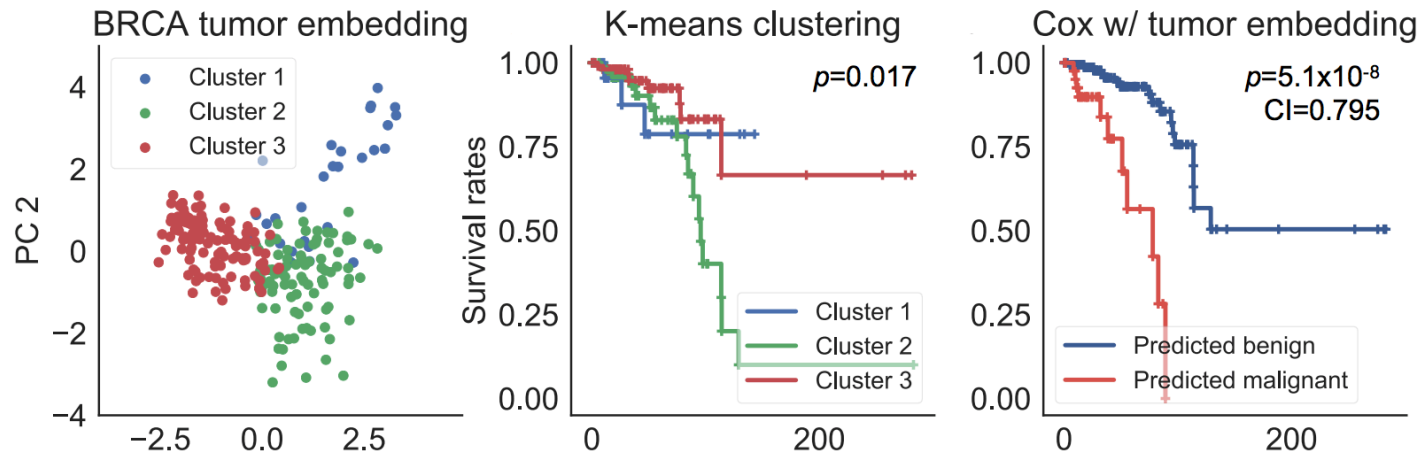




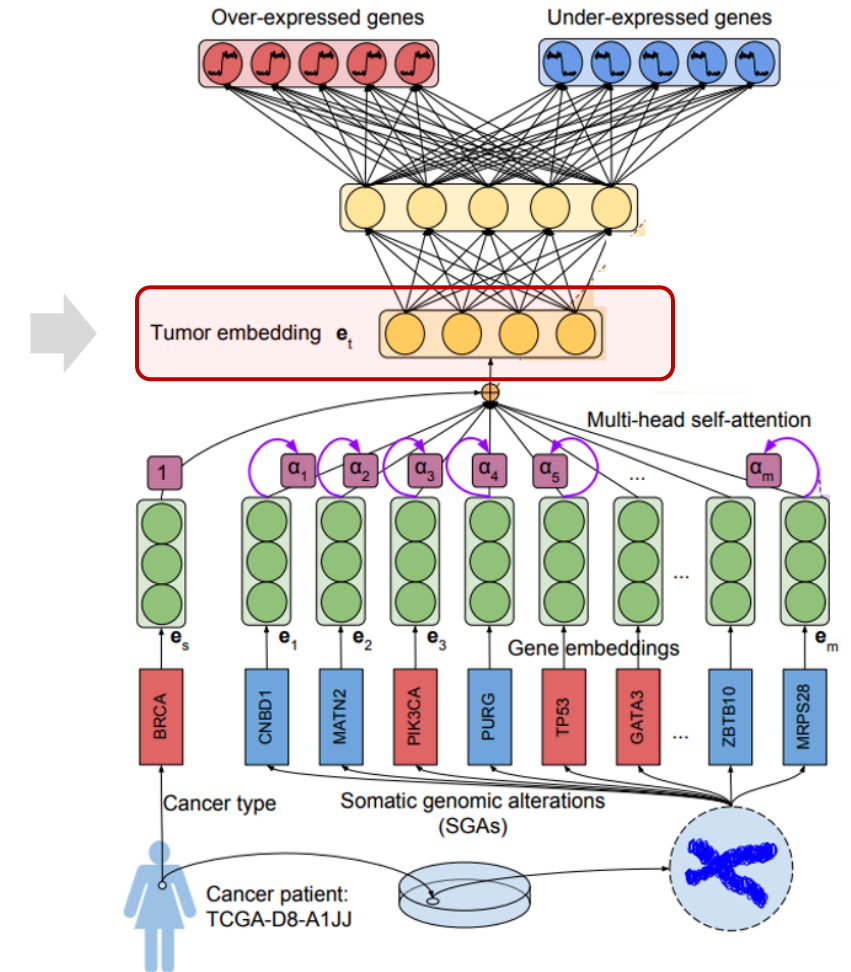
# Personalized attention weights



# Survival profiles encoded by tumor embeddings



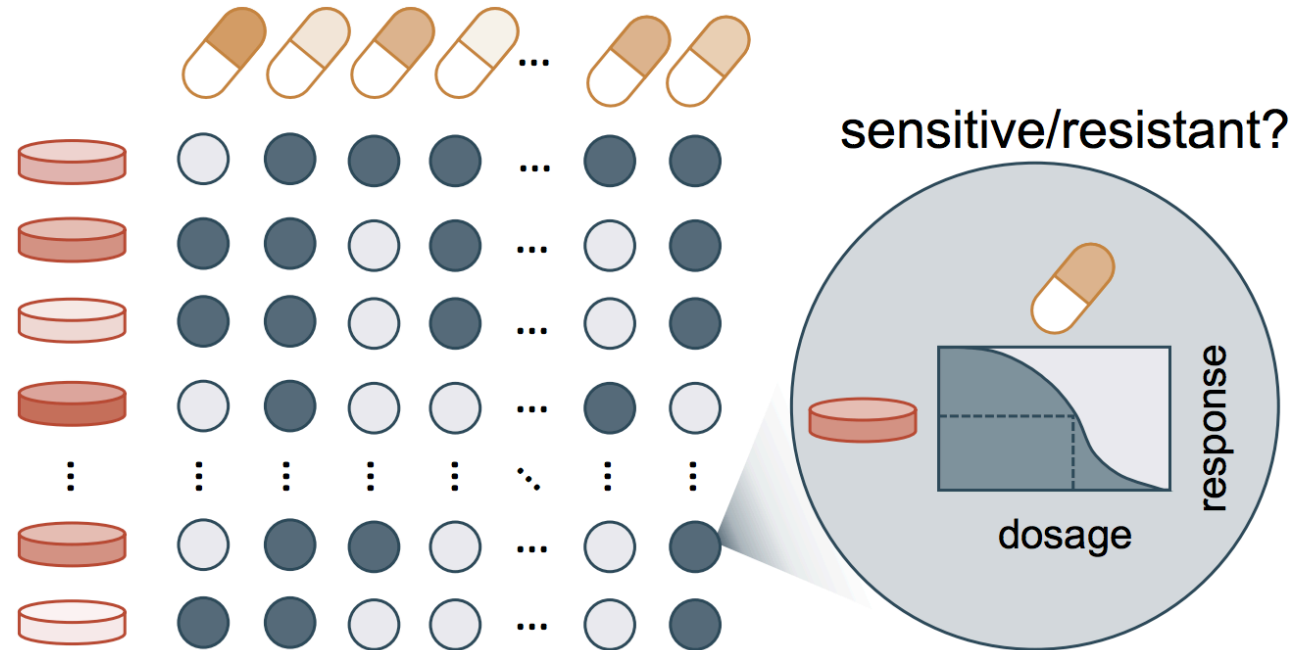
Normally impossible by only using mutation data due to sparsity.



# Inference of drug response

Challenges in predicting drug response of cancer cell lines

- **Robustness:** noise.
- **Contextual effects:** gene interactions.
- **Interpretability:** biomarkers.

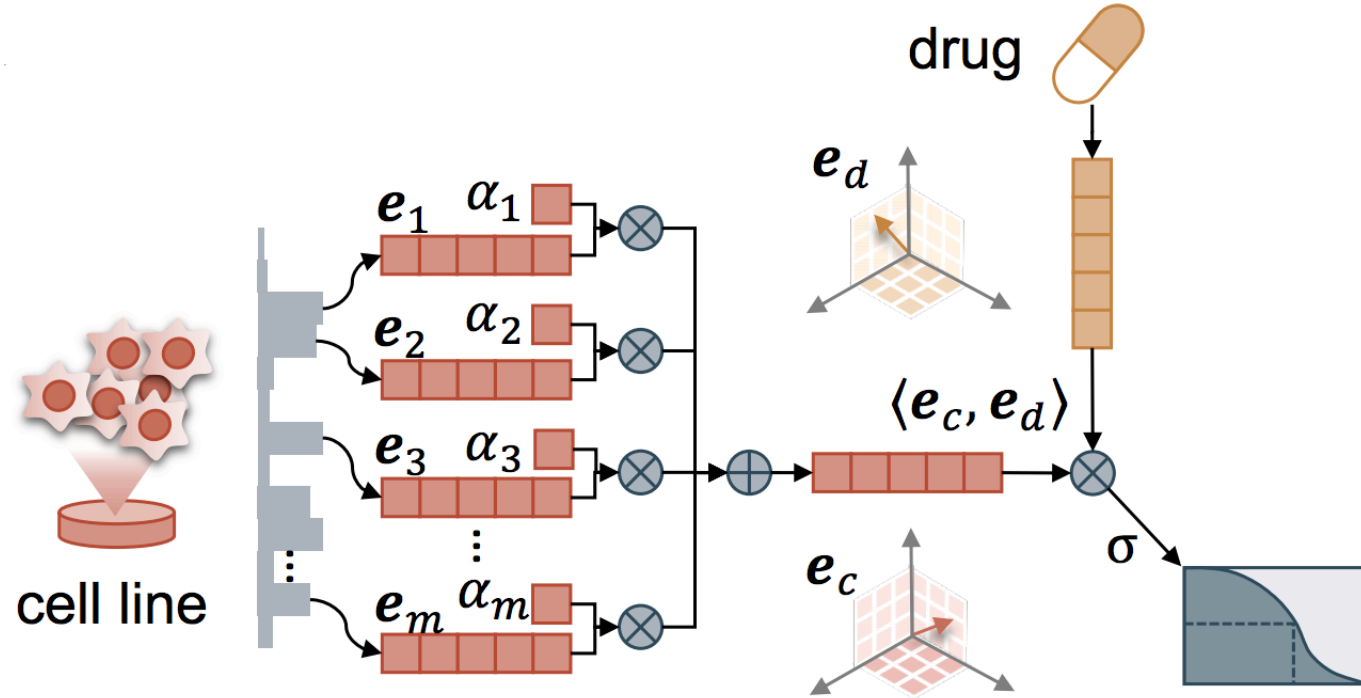


# CADRE: Contextual Attention-based Drug REsponse

**Collaborative filtering:** copes with noisy data.

**Contextual attention mechanism:** improves interpretability and performance.

**Pretrained gene embeddings:** boosts performance further.



Tao Y et al. PMLR. 2020.

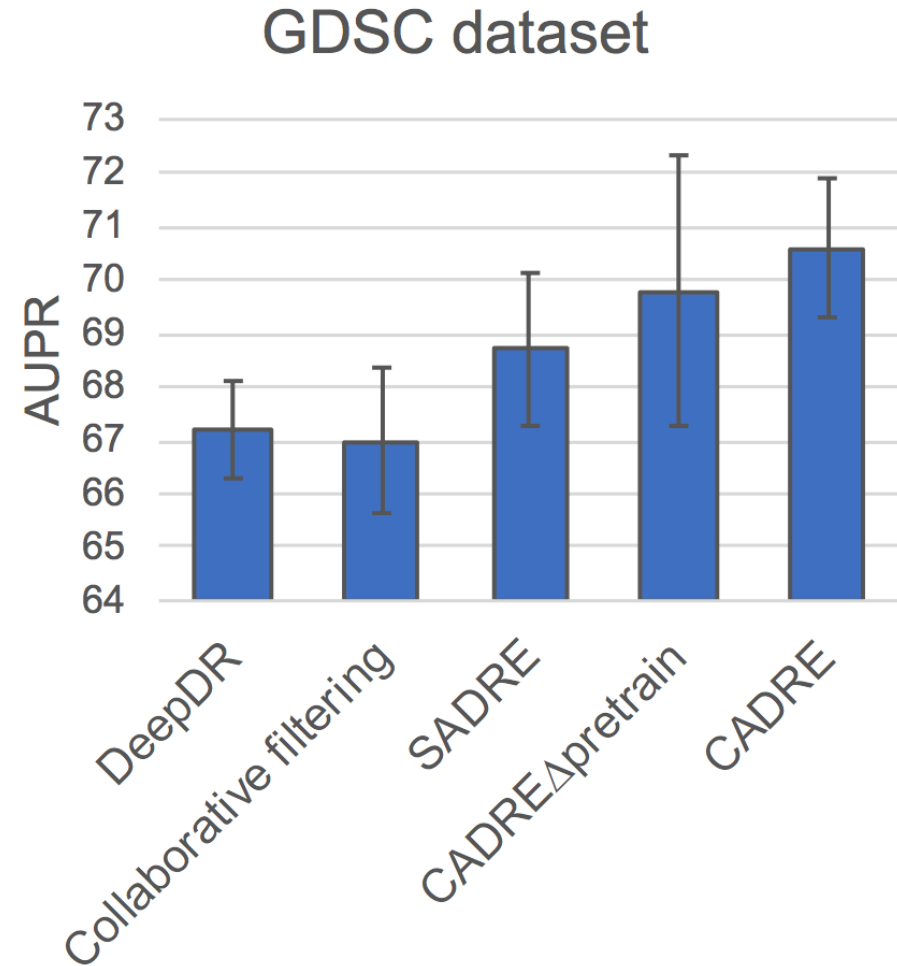
# Performance of CADRE and competitors

**Traditional algorithm:** collaborative filtering

**Deep learning:** DeepDR

**SADRE:** self-attention

**CADRE:** contextual-attention



# OUTLINE



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# Deconvolution of bulk RNA

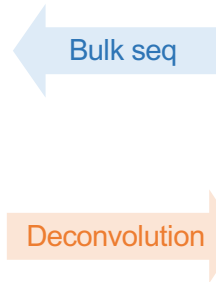
Heterogeneous tumor populations/clones even from same tissue.  
scRNA not available, e.g., FFPE tissue of breast cancer / immune cells.  
Deconvolution of bulk tumor samples is essential.

**Bulk tissue**

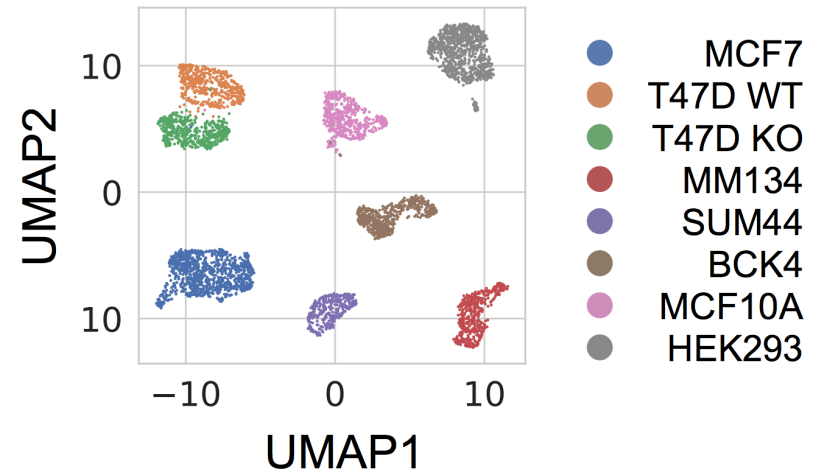


Image credit to Bo Xia.

**Cell clones**



**Cell clones**



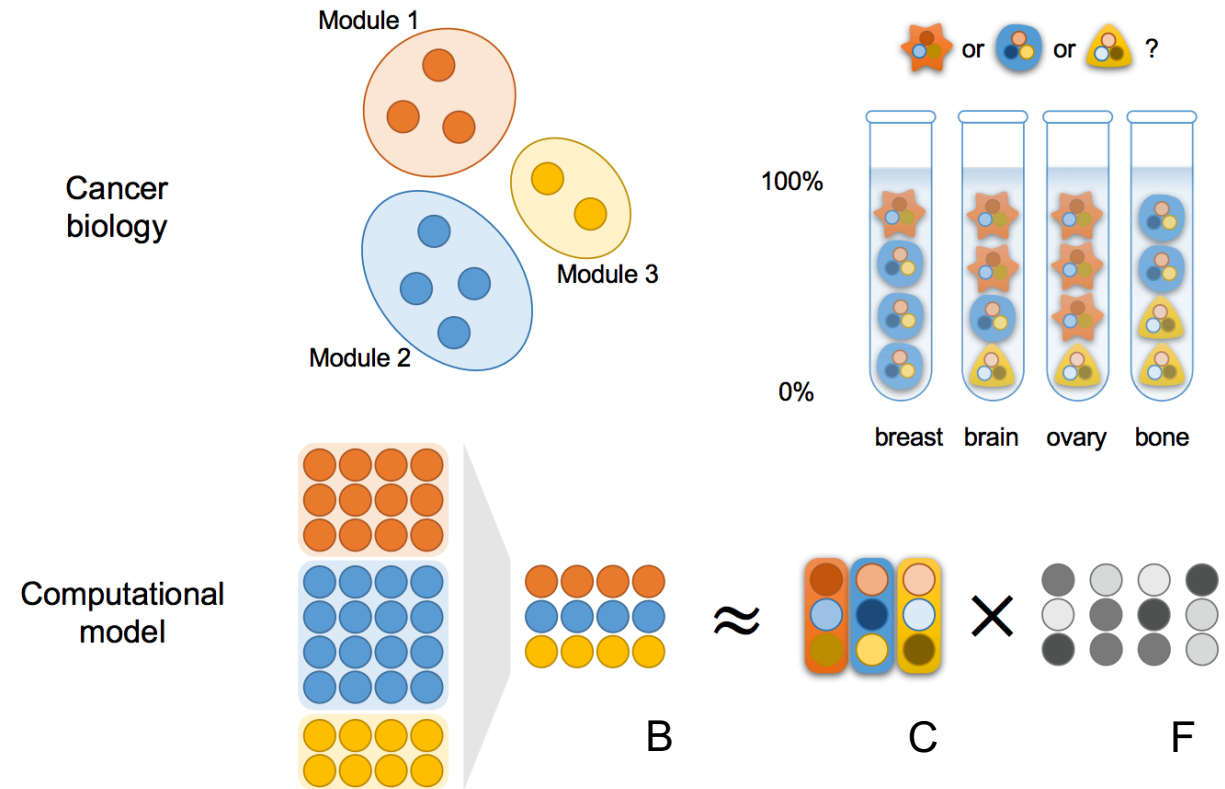
Chen F et al. Cancer Research. 2020.

# Mathematical formulation of deconvolution problem

Matrix factorization.

Additional constraints make it hard to solve.

$$\begin{aligned} \min_{\mathbf{C}, \mathbf{F}} \quad & \|\mathbf{B} - \mathbf{CF}\|_{\text{Fr}}^2, \\ \text{s.t.} \quad & \mathbf{F}_{lj} \geq 0, \\ & \sum_{l=1}^k \mathbf{F}_{lj} = 1 \end{aligned}$$





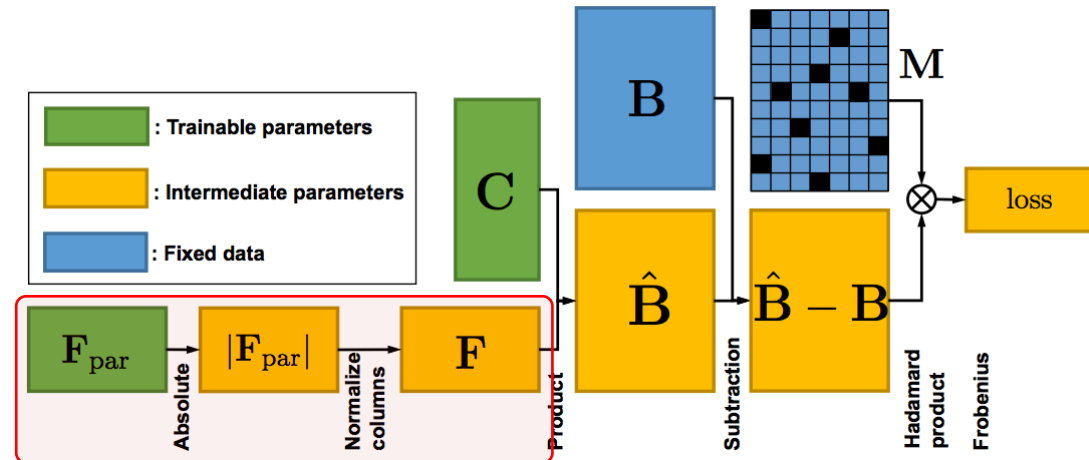
# Solution 1: Gradient descent / backpropagation

## NND: Neural Network Deconvolution

- Equivalently transfer the problem into a neural network (w/o input).
- Solved with backpropagation.
- Easily adapted when constraints change.

## Limitations

- Need to choose learning rate.
- Computationally slow.
- Accuracy is moderate.



$$\min_{\mathbf{C}, \mathbf{F}} \|\mathbf{B} - \mathbf{CF}\|_{\text{Fr}}^2,$$

$$\text{s.t. } \mathbf{F}_{lj} \geq 0,$$

$$\sum_{l=1}^k \mathbf{F}_{lj} = 1$$



$$\min_{\mathbf{C}, \mathbf{F}_{\text{par}}} \|\mathbf{B} - \mathbf{CF}\|_{\text{Fr}}^2,$$

$$\text{s.t. } \mathbf{F} = \text{cwn}(|\mathbf{F}_{\text{par}}|)$$

cwn: column-wise normalization

# Solution 2: Hybrid optimizer

## **RAD:** Robust and Accurate Deconvolution

Fast and accurate by utilizing a hybrid optimizer w/ three phases.

Almost no parameters need to choose manually.

### **Phase 1:** Multiplicative update of C and F until convergence

Fast to converge to a reasonable solution.

### **Phase 2:** Coordinate descent of C and F until convergence

Further reduces loss by ~5-30%.

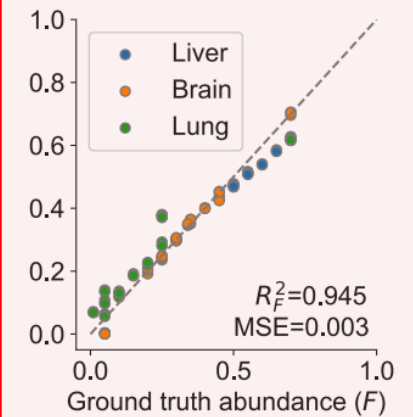
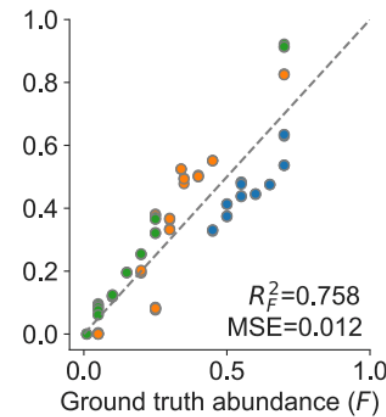
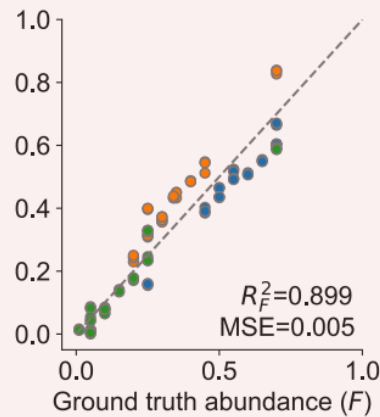
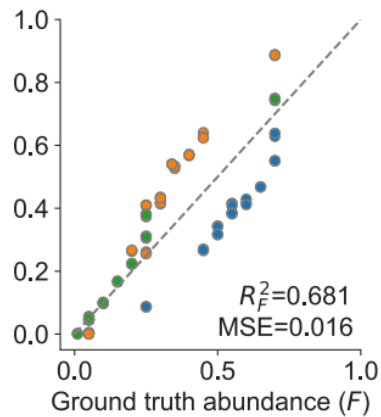
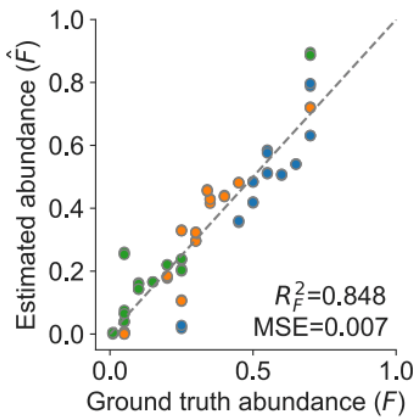
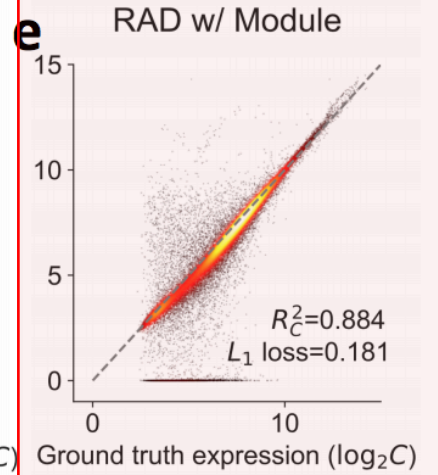
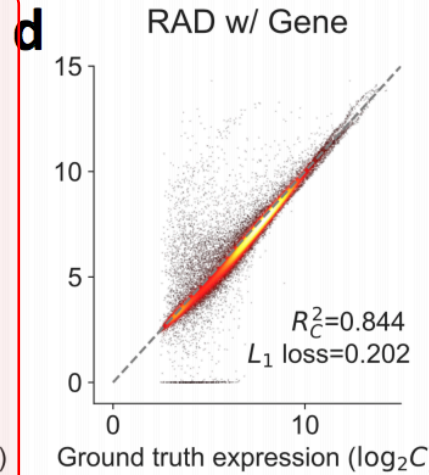
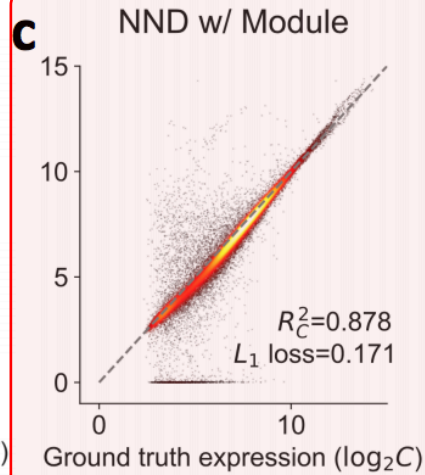
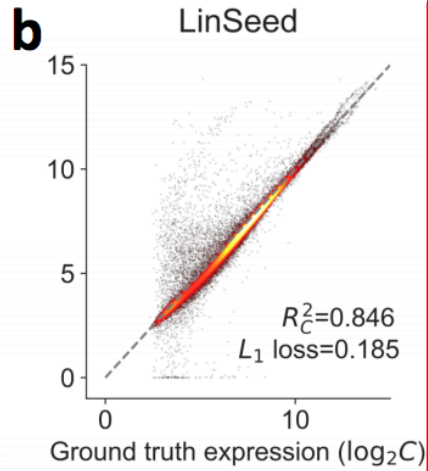
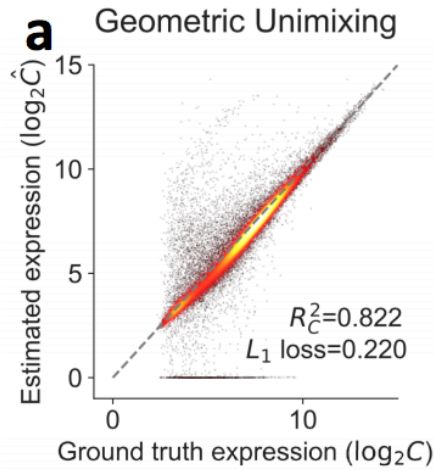
### **Phase 3:** Minimum similarity selection of C

Select biologically meaningful solutions.

$$\begin{aligned} \min_{\mathbf{C}, \mathbf{F}} \quad & \|\mathbf{B} - \mathbf{CF}\|_{\text{Fr}}^2, \\ \text{s.t.} \quad & \mathbf{C}_{il} \geq 0, \quad i = 1, \dots, m, \quad l = 1, \dots, k \\ & \mathbf{F}_{lj} \geq 0, \quad l = 1, \dots, k, \quad j = 1, \dots, n \\ & \sum_{l=1}^k \mathbf{F}_{lj} = 1, \quad j = 1, \dots, n \end{aligned}$$

# Performance of NND and RAD

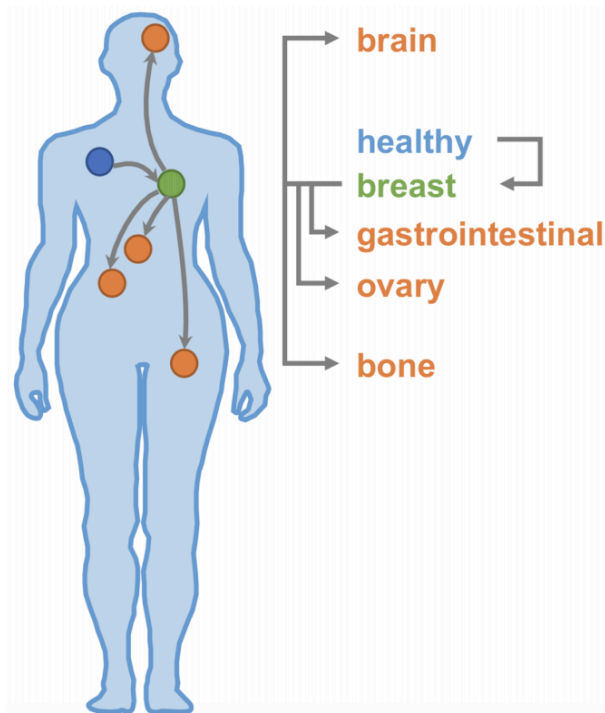
**GSE19830 dataset:** mixture of liver, brain and lung cells (Shen-Orr et al. Nature Methods. 2010)



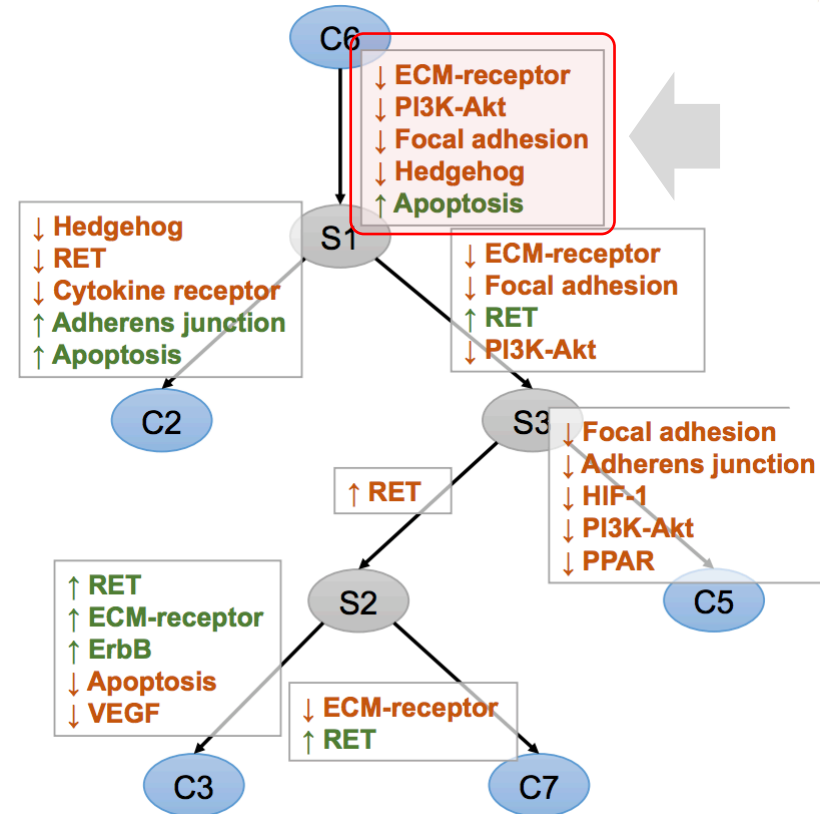
# Common evolutionary mechanism

## Dataset:

- Matched bulk RNA-Seq
- Breast cancer metastasis patients



Zhu L et al. Journal for ImmunoTherapy of Cancer. 2019.



Infer phylogenies from **RAD-unmixed populations**

## Common early pathway-level events:

- ↓ PI3K-Akt
- ↓ Extracellular matrix (ECM)-receptor interaction
- ↓ Focal adhesion

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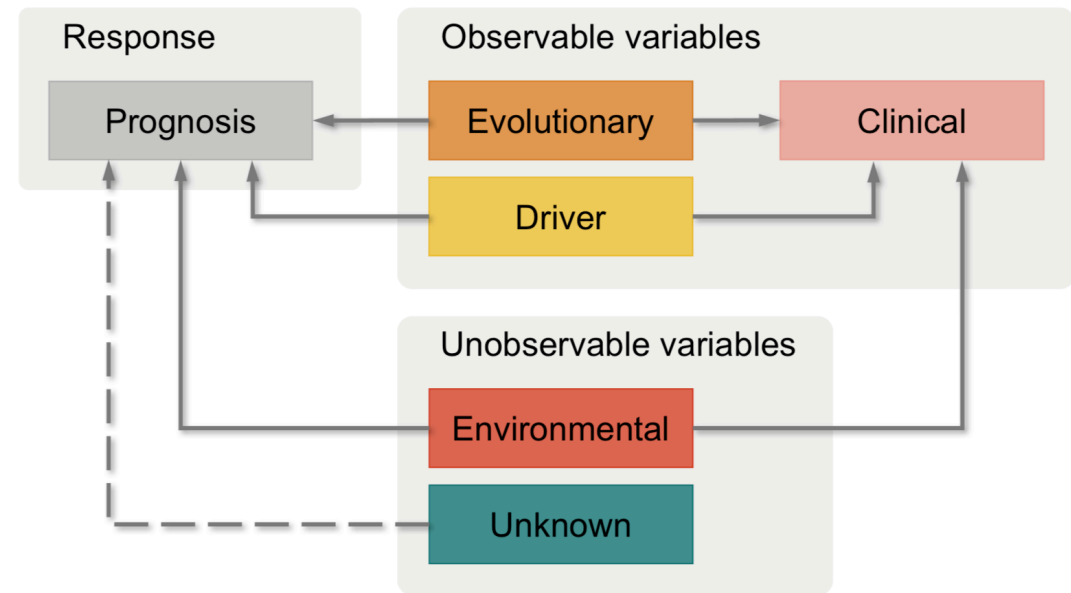
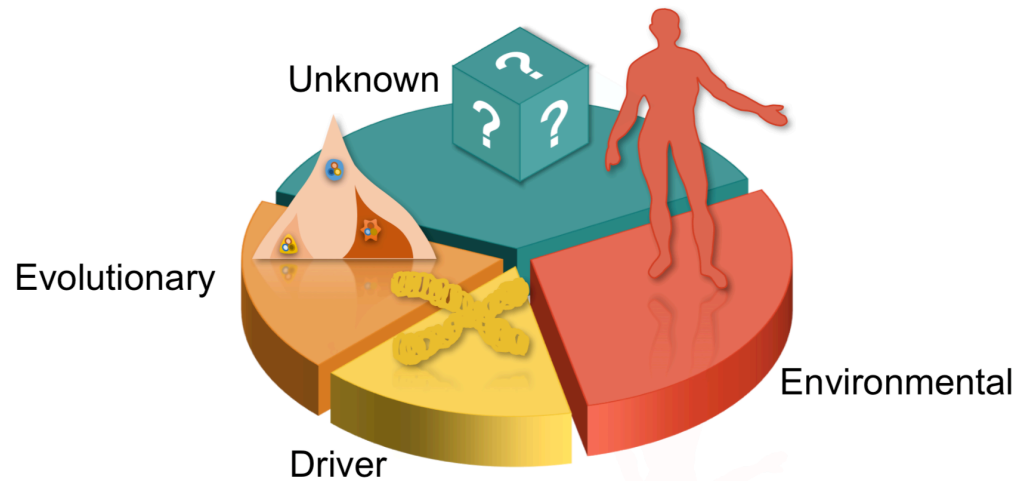
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Improving prognostic prediction of cancer by incorporating machine learning and evolutionary methods

# Factors affecting tumor prognosis

- Clinical and driver-level genomic factors are well-studied.
  - TNM pathological stage, driver mutations in BRCA1/2, PIK3CA, etc.
- Impact of evolutionary features are little known.
- Different types of factors are correlated.



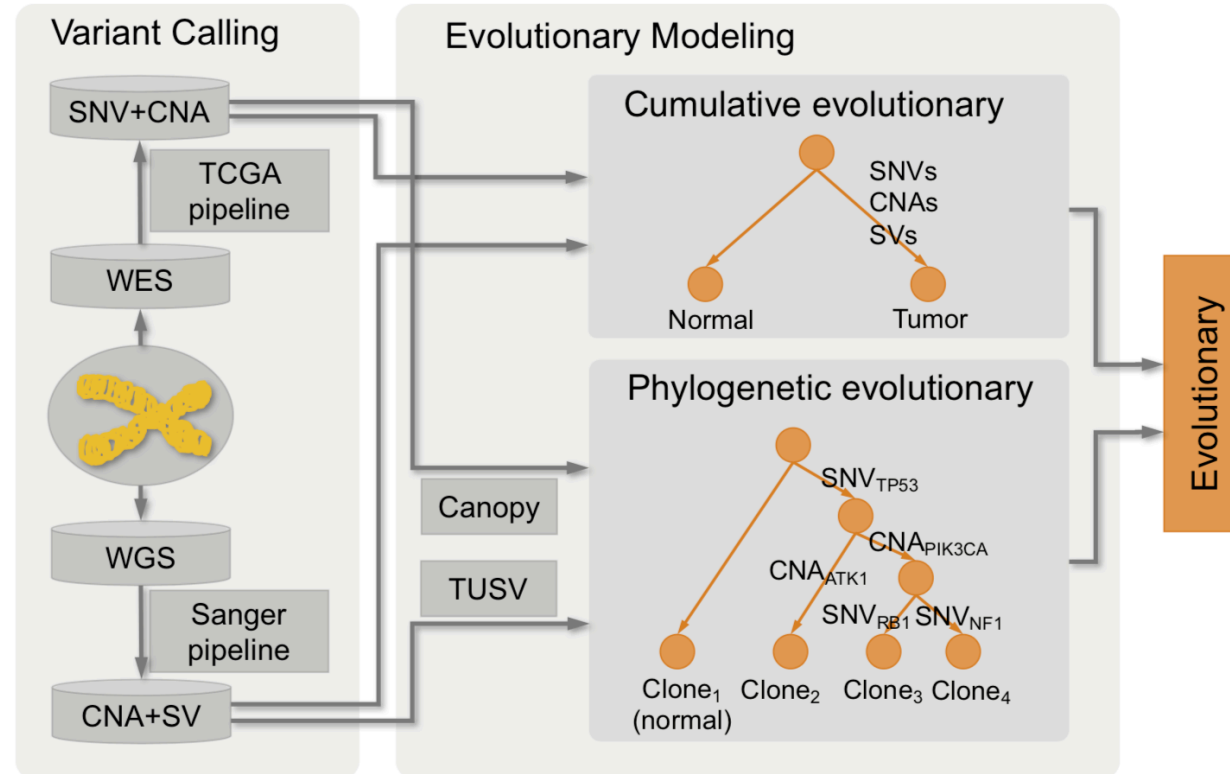
# Pipeline of extracting evolutionary features

## Mutational signatures:

- e.g.,  $T \rightarrow A$ ,  $GTC \rightarrow GAC$ , mutation rate of CNAs.

## Topological structures of phylogenies:

- e.g., height, average branch length.



# Phylo-risk: Evaluating contribution of evolutionary features to tumor progression risk

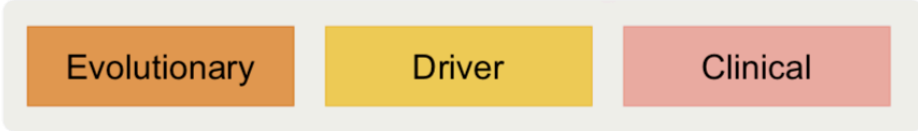
- **Employ L0-regularized Cox regression**

$$\min_{\beta} l(\beta \mid \{(X_i, y_i, \delta_i)\}_{i=1}^N), \quad \text{s.t. } \|\beta\|_0 \leq k$$

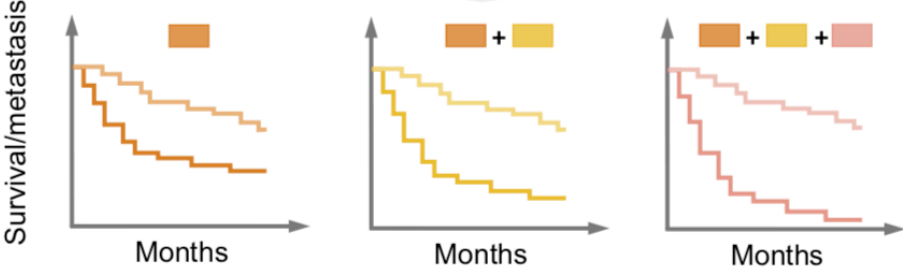
- Solved heuristically through step-wise feature selection

- **Risk evaluated in the log-scale HR (hazard ratio)**

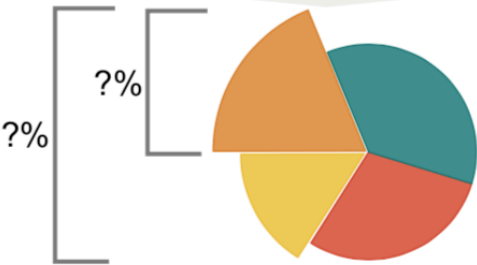
$$\text{fraction}(\text{evolutionary}) = \frac{\log \text{HR}(\text{evolutionary})}{\log \text{HR}(\text{evolutionary} + \text{driver} + \text{clinical})}$$



Feature selection & Cox regression



Statistical analysis

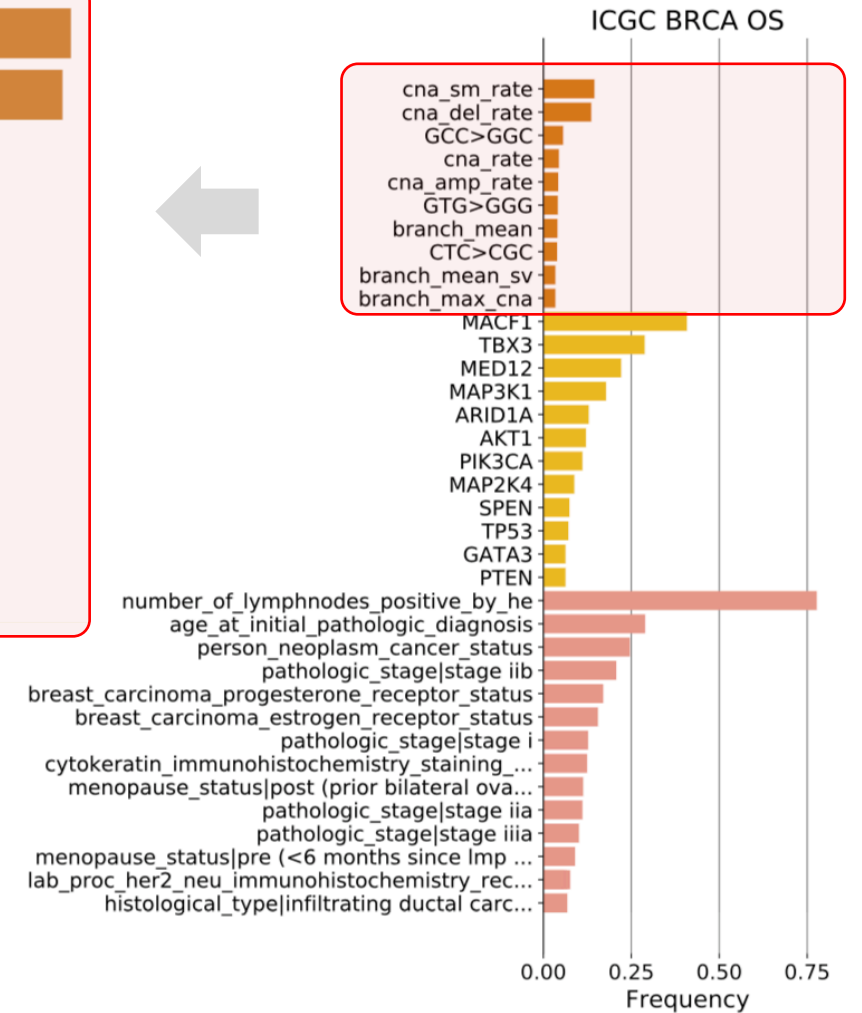
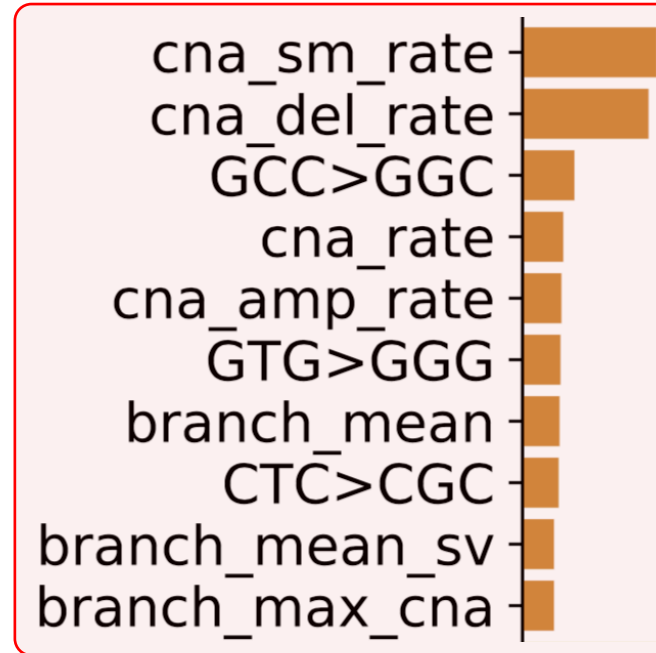


Tao Y et al. PLOS Computational Biology. 2021.



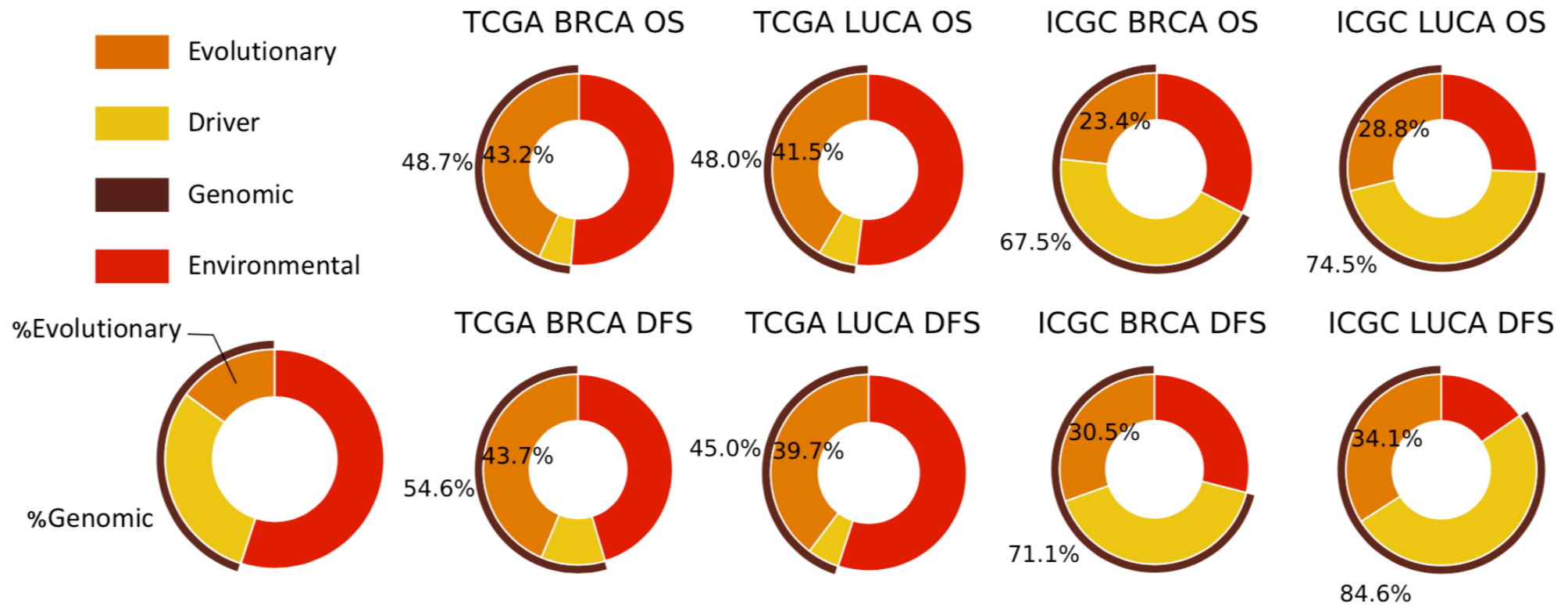
# Important evolutionary features

- Trinucleotide SNV rate
- Features related to CNAs and SVs
  - CNA duplication/deletion rates
  - Rates of CNA above/below 500k nt
- Average branch length in unit of SV rates



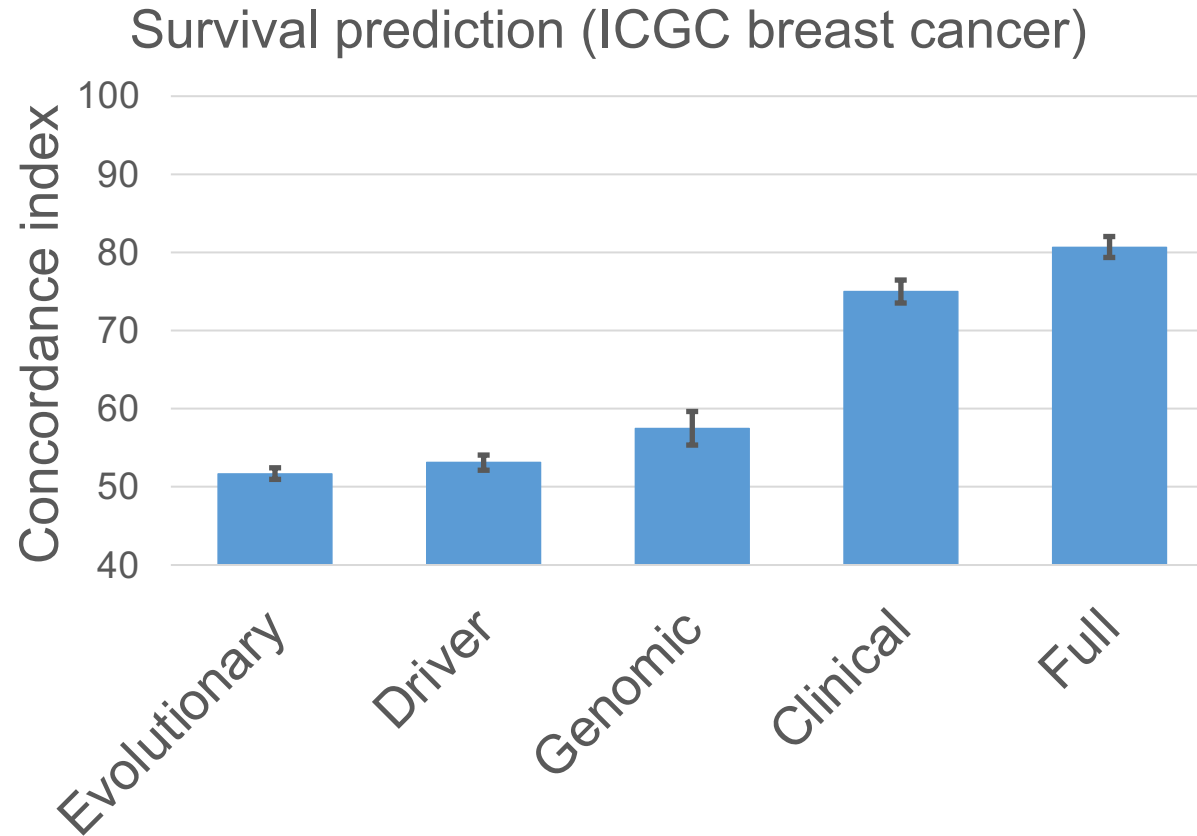
# Contribution of evolutionary factors

- Two datasets (TCGA/ICGC); Two cancer types (BRCA/LUCA); Two tasks (OS/DFS).
- Evolutionary features account for around 1/3 of the overall risk.

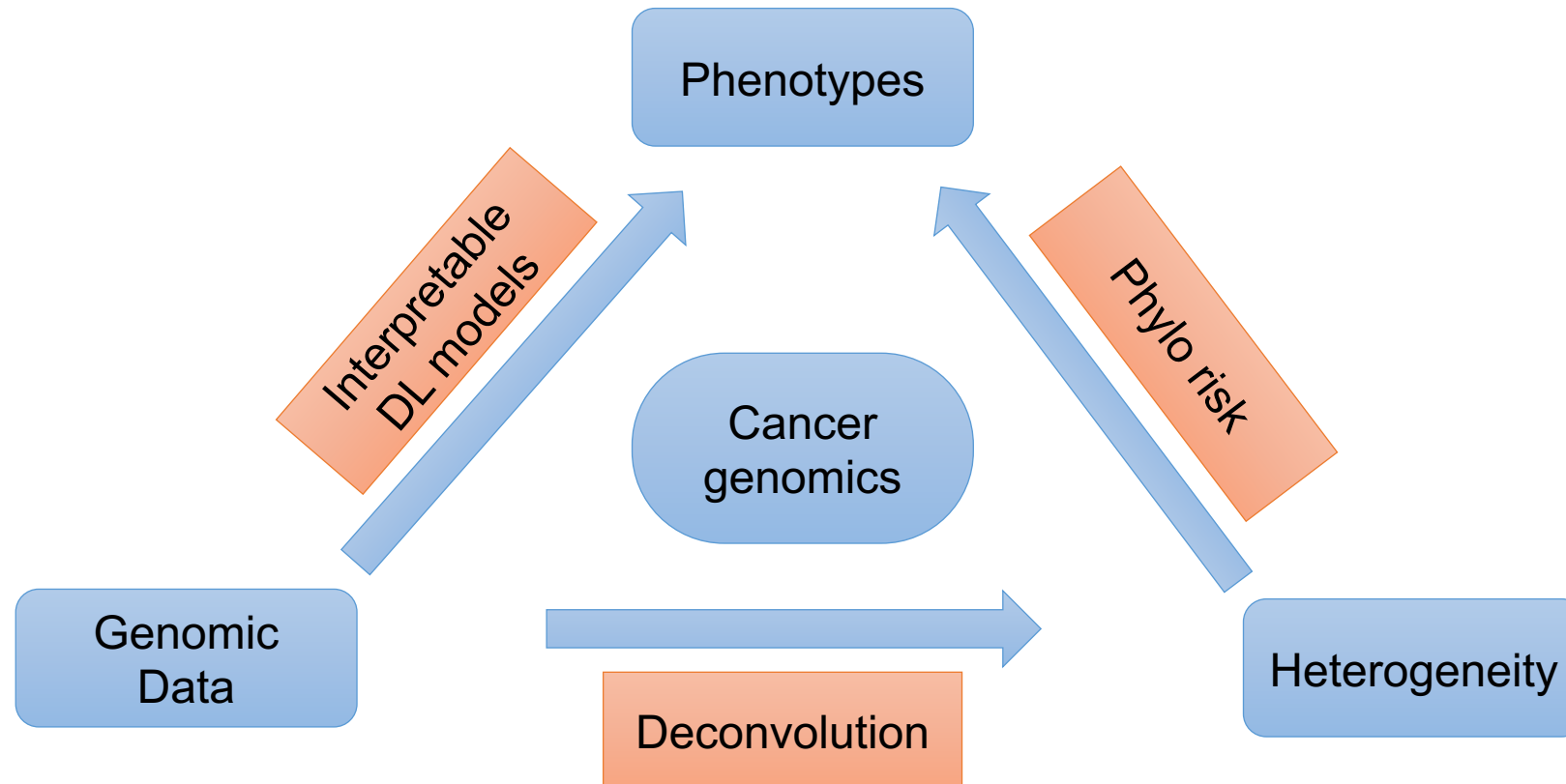


# Improving prognostic prediction using evolutionary features

- Performance evaluated through nested cross-validation.

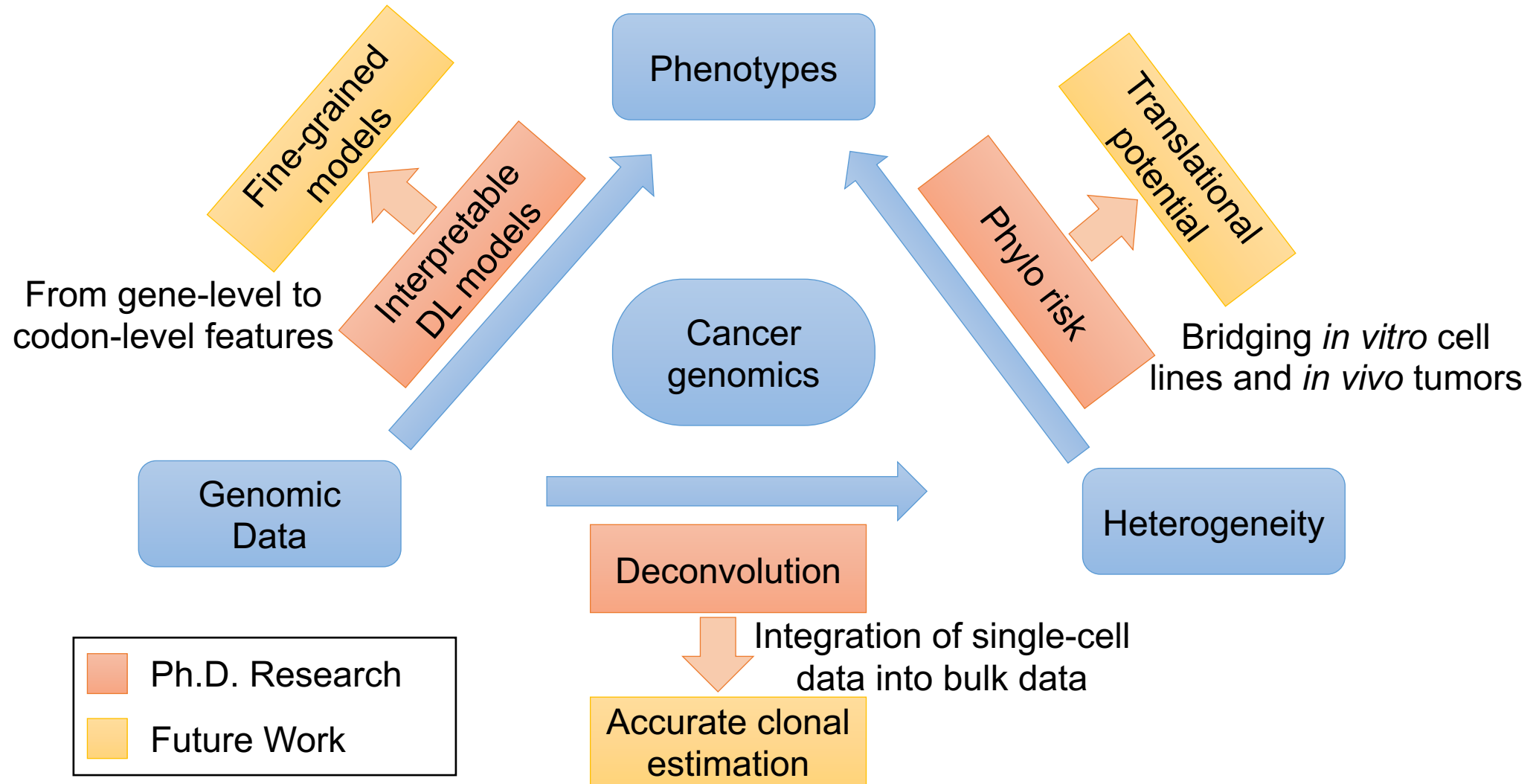


# Conclusions



- Interpretable deep learning models for accurate phenotype inference of tumor.
- Deconvolution of bulk breast cancer samples discovers early pathway-level event of metastasis.
- Trinucleotide mutation rates, CNAs, and SVs contribute to around 1/3 of the tumor progression risk.

# Future work



# Acknowledgments

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